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Mathematical modeling of dendrite growth in an Al–Ge alloy with convective flow

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1 | INTRODUCTION

Dendrite growth in metastable (undercooled) liquids controls microstructure formation and composition of the solid phase for a variety of methods of directional and equiaxed solidification.^{1–8} For example, depending on melt supercooling and solidification velocity, the formation of single crystals or a polycrystalline structure occurs.⁹ The mechanisms of remelting of secondary dendrite arms strongly influence the structural features of the mushy zone during solidification

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A theory of stable dendrite growth in an undercooled binary melt is developed for the case of intense convection. Conductive heat and mass transfer boundary conditions are replaced by convective conditions, where the flux of heat (or solute) is proportional to the temperature or concentration difference between the surface of the dendrite and far from it. The marginal mode of perturbation wavelengths is calculated using the linear morphological stability analysis. Combining this analysis with the solvability theory, we have derived a selection criterion that represents the first condition to define a combination of dendrite tip velocity and tip diameter. The second condition—the undercooling balance—is derived for intense convection. The theory under consideration determines the dendrite tip velocity and tip diameter for low undercooling. This convective theory is combined with the classical theory of dendritic growth (conductive boundary conditions), which is valid for moderate and high undercooling. Thus, the entire range of melt undercooling is covered. Our results are in good agreement with experiments on Al–Ge crystallization.

KEYWORDS

crystal anisotropy, dendrites, forced convection, mathematical modeling, phase transition, selection theory

MSC CLASSIFICATION

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as well as the composition of the solid phase and the porosity.^{10–17} Due to the impact of solidification on the properties of cast pieces, mathematical modeling of dendritic growth is a substantial area of contemporary science.

The problem of determining a stable mode of dendritic growth has been studied intensively over the past four decades. The paramount task of dendrite growth theory is to derive a microscopic solvability condition defining a stable solidification velocity V, which depends on the characteristic size ρ of the dendrite tip and the melt undercooling ΔT . One of the equations connecting V, ρ , and ΔT is the undercooling balance. In previous studies, it was solved for weakly anisotropic dendritic crystals growing slowly in pure liquids and binary alloy melts without convection as well as under conditions of forced convection in the direction of growth.¹⁸⁻²⁴ This theory was then extended to the cases of moderate and fast solidification velocities²⁵⁻²⁷ and different crystal symmetries.^{28,29} All these studies are devoted to mathematical modeling with boundary conditions implying conductive heat and mass transfer. It is well-known that in the case of (intense) natural convection, the fluxes of heat and solute at the solid/liquid interface differ from those predicted by the classical Fick law, i.e., the fluxes are not proportional to the gradients of heat and concentration of the dissolved solute; see among others³⁰⁻³⁵). In the presence of convection, we can use Newton's law of heat (mass) transfer, when the heat (solute) flux is proportional to the temperature (concentration) difference and the fluid flow velocity near the interface, i.e., the friction velocity).^{30–35} In this paper, the conditions for a stable mode of growth of dendritic crystals under the condition of forced convection in an undercooled melt are derived. Such conditions occur, e.g., in undercooled droplets solidifying in electromagnetic levitation and in ice crystals growing in turbulent oceanic currents.^{3,30–36} An important point is that the present theory, describing the case of intense convection in the melt, works at low undercooling where the classical conductive model differs from experiments (see, for example, experimental points for Al-24 at. % Ge mentioned below).

Let us especially clarify the terminology used in this paper concerning conductive and convective mechanisms of heat and mass transfer. The case of conductive mechanism is classical and well studied in previous works. It describes a motionless melt or forced laminar convection caused by a plane–parallel flow far from the growing crystal. On the other hand, the case of convective mechanism (intense convection) means either a turbulent flow or the flow before the transition to turbulence when the heat and mass fluxes become not Fickian. The mathematical model formulated and studied in this paper refers to the second case. The case of conductive-type boundary conditions was studied in many previous papers (see, among others, the review article by Alexandrov, Galenko, and Toropova³⁶).

2 | MODEL

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Let's analyze steady-state growth of two- and three-dimensional dendrites along the spatial axis z in the case of forced convective flow that comes from the direction opposite to the growth direction (Figure 1). First of all, we define the corresponding parabolic coordinates ξ , η (and φ in 3D geometry) connected to the Cartesian ones, x, y, and z, by the following expressions:

$$x = \rho \sqrt{\xi \eta}, \ z = \frac{\rho(\eta - \xi)}{2} \text{ two-dimensional (2D)},$$

$$x = \rho \sqrt{\xi \eta} \cos \varphi, \ y = \rho \sqrt{\xi \eta} \sin \varphi, \ z = \frac{\rho(\eta - \xi)}{2} \text{ three-dimensional (3D)},$$
(1)

where ρ is the diameter of the dendrite tip, φ is the polar angle on the surface area perpendicular to the incoming flow, $\eta = 1$ defines the solid/liquid dendrite surface, 2D and 3D constitute the two- and three-dimensional space, respectively.

Basic model parameters are as follows: T_i represents the temperature at the solid/liquid interface, T_l and T_s are the temperatures in the liquid and solid phases, D_C and D_T are the solute diffusion coefficient and heat diffusivity, respectively, C_l is the solute concentration in the liquid, and **w** is the fluid velocity. The equations of convective heat and mass transfer in the liquid and conductive heat transfer in the solid are given as

$$\frac{\partial T_l}{\partial t} + (\mathbf{w} \cdot \nabla) T_l = D_T \nabla^2 T_l, \ \frac{\partial T_s}{\partial t} = D_T \nabla^2 T_s, \tag{2}$$

$$\frac{\partial C_l}{\partial t} + (\mathbf{w} \cdot \nabla) C_l = D_C \nabla^2 C_l.$$
(3)



FIGURE 1 A sketch of local convection in front of dendrite tip. u_* represents friction velocity appearing as a result of intense heat and mass transfer

Heat and mass balance at the solid/liquid interface³⁰⁻³³ read as

$$\frac{Q}{D_T c_p} \mathbf{v} \cdot \mathbf{n} = \nabla T_s \cdot \mathbf{n} + \frac{\alpha_h \rho_l c_l u_*}{k_s} \left(T_i - T_\infty \right),\tag{4}$$

$$(1-k_0) C_i \mathbf{v} \cdot \mathbf{n} = \alpha_m u_* (C_i - C_{l\infty}), \qquad (5)$$

where *Q* is the latent heat, c_p is the heat capacity $(Q/c_p = T_Q$ is the hypercooling temperature, i.e., the temperature for complete adiabatic solidification), $\mathbf{v} \cdot \mathbf{n}$ is the normal growth velocity (\mathbf{n} is the normal to the interface with unit length), α_h and α_m are the turbulence coefficients for heat and mass, respectively, ρ_l is the liquid phase density, c_l is specific heat capacity of the liquid phase, k_s is the solid phase thermal conductivity, and u_* is the friction velocity. The subscript *i* designates the temperature and solute concentration at the dendrite/liquid interface, and the subscript ∞ designates the temperature and solute concentration far away from dedrite tip.

The curvature of the solid/liquid interface at the vicinity of its tip is given by

$$\mathcal{K} = \begin{cases} 1/R, & \text{in 2D,} \\ (R_1 + R_2)/(R_1 R_2), & \text{in 3D,} \end{cases}$$
(6)

where *R* is the dendrite tip radius in 2D and *R*₁ and *R*₂ are the main curvature radii in 3D. The capillary length $d(\theta)$ and the function representing the anisotropic kinetics $\tilde{\beta}(\theta)$ are described by

$$d(\theta) = d_0 \left\{ 1 - \alpha_d \cos\left[n\left(\theta - \theta_d\right)\right] \right\},\tag{7}$$

$$\tilde{\beta}(\theta) = \beta_0 T_Q \left\{ 1 - \alpha_\beta \cos\left[n \left(\theta - \theta_\beta \right) \right] \right\},\tag{8}$$

where d_0 and β_0 are the capillary and kinetic constants, respectively, $\alpha_d \ll 1$ is the interface stiffness depending on ε_c (i.e. a small surface energy anisotropy parameter), $\alpha_\beta \ll 1$ is the kinetic anisotropy parameter, θ_d and θ_β are the angles between the growth direction and the minimum functions $d(\theta)$ and $\tilde{\beta}(\theta)$, *n* is the order of the crystal symmetry. Note that Equations (7) and (8) were averaged over the polar angle.³⁶

Consequently, the Gibbs-Thomson equation at the solid/liquid interface can be written as

$$T_{i} = T_{l} = T_{s} = T_{0} - mC_{l} - T_{Q}d(\theta)\mathcal{K} - \tilde{\beta}(\theta)\mathbf{v}\cdot\mathbf{n},$$
(9)

where T_0 is the melting temperature of a single-component melt at a planar interface, and *m* represents the slope of the liquidus line.

Rewriting the heat transfer equation (2) and the boundary conditions (4) in parabolic and paraboloidal coordinates (1) and integrating them, we find the temperature distributions in 2D and 3D growth geometries by analogy with the classical case of conductive-type boundary conditions³⁶

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$$T_{l}(\eta) = T_{i} + (T_{\infty} - T_{i}) \frac{I_{T}(\eta)}{I_{T}(\infty)},$$
(10)

where $I_T(\eta)$ can be written as

$$I_{T}(\eta) = \begin{cases} \int_{-T_{g}\eta'}^{\eta} \frac{\exp(-P_{g}\eta')}{\sqrt{\eta'}} d\eta', & \text{in 2D case,} \\ \int_{\eta}^{1} \frac{\exp(-P_{g}\eta')}{\eta'} d\eta', & \text{in 3D case.} \end{cases}$$
(11)

For the same transformation steps with the diffusion equation, we obtain

$$C_l(\eta) = C_i + (C_{l\infty} - C_i) \frac{I_C(\eta)}{I_C(\infty)},$$
 (12)

where $I_C(\eta)$ reads as

$$I_{C}(\eta) = \begin{cases} \int_{-1}^{\eta} \frac{\exp(-P_{C}\eta')}{\sqrt{\eta'}} d\eta', & \text{in 2D case,} \\ \int_{\eta}^{1} \frac{\exp(-P_{C}\eta')}{\eta'} d\eta', & \text{in 3D case.} \end{cases}$$
(13)

Here, $P_g = \rho V/(2D_T)$ and $P_C = \rho V/(2D_C)$ denote the Péclet numbers for the temperature and concentration fields, respectively (*V* is the steady-state dendrite growth velocity).

To find the interfacial temperature T_i and solute concentration C_i , we rewrite conditions (4) and (5) for the isothermal crystal T_s = const. in the form of

$$\frac{T_Q V}{D_T} = \frac{\alpha_h \rho_l c_l u_*}{k_s}, (1 - k_0) C_i V = \alpha_m u_* (C_i - C_{l\infty}).$$

Now expressing T_i and C_i , we get

$$T_i = T_\infty + \frac{T_Q V k_s}{\alpha_h \rho_l c_l u_* D_T},\tag{14}$$

$$C_{i} = \frac{\alpha_{m} u_{*} C_{l\infty}}{\alpha_{m} u_{*} - (1 - k_{0}) V}.$$
(15)

3 | LINEAR STABILITY ANALYSIS

Let us now select the marginal mode of morphological perturbations wavenumber imposed on the dendrite surface, which is required for the solvability theory developed in Section 4. For this purpose, we assume that the solid/liquid interface is perturbed as is schematically shown in Figure 2. Note that analytical solutions describing steady-state dendritic growth can be found from the stationary solutions (10)–(15) in the case of small anisotropies of surface energy and growth kinetics. In order to find the limiting (marginal) wavenumber k_m in analogy with the conductive theory,³⁶ a linear stability analysis is developed below for convective heat and mass transfer.

We introduce a special coordinate system (x_c, y_c) which is related to the dendrite surface, and x_c and y_c denote the tangent and normal axes to the dendrite surface.^{22,36} Also note that the origin of this coordinate system is on the dendrite surface, and θ represents the angle between the surface normal and the growth axis. Thus, small perturbations of the temperature and solute concentration fields that follow from (2) and (3) satisfy the equations



FIGURE 2 A 2D sketch of morphological perturbations on the dendrite surface

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$$\frac{\partial T_{l,s}}{\partial t} + \bar{u} \frac{\partial T_{l,s}}{\partial x_c} + \bar{v} \frac{\partial T_{l,s}}{\partial y_c} + v' \frac{dT_{l,s}}{dy_c} = D_T \nabla^2 T_{l,s}',$$

$$\frac{\partial C_l'}{\partial t} + \bar{u} \frac{\partial C_l'}{\partial x_c} + \bar{v} \frac{\partial C_l'}{\partial y_c} + v' \frac{d\bar{C}_l}{dy_c} = D_C \nabla^2 C_l'.$$
(16)

Since the fluid velocity far from the crystal is zero (intense liquid flow is characterized only by the friction velocity u_* near the dendrite), the steady-state unperturbed fluid velocity components³⁶ \bar{u} and \bar{v} only have the main contributions, i.e. $\bar{u} = -V \sin \theta$ and $\bar{v} = -V \cos \theta$.

Perturbations of the temperature fields T'_l and T'_s in the liquid and solid phases, solute concentration C'_l and dendrite surface ξ' can be represented in the form of oscillating functions because any real perturbation can be expanded in a series of such functions. So, accordingly to the classical perturbation theory,^{22,36} we have

$$T_{l}'(x_{c}, y_{c}, t) = (T_{l0} + T_{l1}y_{c} + T_{l2}y_{c}^{2})\exp(\omega t + ikx_{c} - \epsilon ky_{c}),$$

$$T_{s}'(x_{c}, y_{c}, t) = (T_{s0} + T_{s1}y_{c} + T_{s2}y_{c}^{2})\exp(\omega t + ikx_{c} - \epsilon ky_{c}),$$

$$C_{l}'(x_{c}, y_{c}, t) = (C_{l0} + C_{l1}y_{c} + C_{l2}y_{c}^{2})\exp(\omega t + ikx_{c} - \epsilon ky_{c}),$$

$$\xi'(x_{c}, y_{c}, t) = C\exp(\omega t + ikx_{c} - \epsilon ky_{c}),$$
(17)

where $\partial \xi' / \partial t = -v'$, T_{li} , T_{si} , C_i , and C stand for the perturbation amplitudes (i = 0, 1, 2), and ω and k are the frequency and wavenumber of the perturbations, respectively, i is an imaginary unit, and $\epsilon = 1$ or -1 (its sign is given by the sign of the real part of k, as disturbances cannot be diverging). Let us especially emphasize that the perturbation amplitudes (17) are polynomials of the second order, as was proved in the previously developed theory.⁹ Also, note that disturbances can be caused by any physical process or phenomenon that accompanies dendritic growth (e.g., mechanical disturbance, temperature or fluid velocity fluctuations).

Combining Equations (16) and (17), we arrive at the following amplitudes

$$T_{l,s1} = \frac{3\omega C}{4\epsilon k D_T} \frac{d\bar{T}_{l,s}}{dy_c} - \frac{\left[\omega + Vk\left(\epsilon\cos\theta - i\sin\theta\right)\right] T_{l,s0}}{2\epsilon k D_T}, \quad T_{l,s2} = \frac{\omega C}{4D_T} \frac{d\bar{T}_{l,s}}{dy_c},$$

$$C_1 = \frac{3\omega C}{4\epsilon k D_C} \frac{d\bar{C}_l}{dy_c} - \frac{\left[\omega + Vk\left(\epsilon\cos\theta - i\sin\theta\right)\right] C_0}{2\epsilon k D_C}, \quad C_2 = \frac{\omega C}{4D_C} \frac{d\bar{C}_l}{dy_c},$$
(18)

where

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$$\frac{d\bar{T}_{l}}{dy_{c}}\Big|_{y_{c}=0} = -\frac{2T_{Q}Vk_{s}\exp(-P_{g})}{\rho\alpha_{h}\rho_{l}c_{l}u_{*}D_{T}I_{T}(\infty)} \equiv h_{1},$$

$$\frac{d\bar{C}_{l}}{dy_{c}}\Big|_{y_{c}=0} = -\frac{2(1-k_{0})VC_{l\infty}\exp(-P_{C})}{\rho\left[\alpha_{m}u_{*}-(1-k_{0})V\right]I_{C}(\infty)} \equiv h_{2}.$$
(19)

Expanding expressions (4), (5), and (9) into series at the dendrite surface $y_c = 0$, we arrive at four equations for morphological perturbations

$$T_{l}' = -(h_{1} + mh_{2})\xi' - mC_{l}' - dT_{Q}\frac{\partial^{2}\xi'}{\partial y_{c}^{2}} + \tilde{\beta}\frac{\partial\xi'}{\partial t},$$

$$T_{s}' = mh_{2}\xi' + mC_{l}' + dT_{Q}\frac{\partial^{2}\xi'}{\partial y_{c}^{2}} - \tilde{\beta}\frac{\partial\xi'}{\partial t},$$

$$\frac{T_{Q}}{D_{T}}\frac{\partial\xi'}{\partial t} = \frac{\partial T_{s}'}{\partial y_{c}} - \frac{\alpha_{h}\rho_{l}c_{l}u_{*}}{k_{s}}h_{1}\xi' - \frac{\alpha_{h}\rho_{l}c_{l}u_{*}}{k_{s}}T_{l}',$$

$$\frac{1 - k_{0}}{\alpha_{m}u_{*}}\left(V\cos\theta C_{l}' + V\cos\theta h_{2}\xi' + C_{i}\frac{\partial\xi'}{\partial t}\right) + C_{l}' + h_{2}\xi' = 0.$$
(20)

Let us explain in detail how to derive these expressions using the first equation as an example. Equation (9) gives at $y_c = 0$

$$T_l = T_0 - mC_l - T_Q d(\theta) \mathcal{K} - \tilde{\beta}(\theta) \mathbf{v} \cdot \mathbf{n}.$$
(21)

The steady-state (unperturbed) two-dimensional case leads to

$$\bar{T}_l = T_0 - m\bar{C}_l - \frac{dT_Q}{R} - \tilde{\beta}V.$$
(22)

Now expanding the temperature and concentration in series

$$T_{l} = \bar{T}_{l} + T'_{l} + \left. \frac{d\bar{T}_{l}}{dy_{c}} \right|_{y_{c}=0} \xi', C_{l} = \bar{C}_{l} + C'_{l} + \left. \frac{d\bar{C}_{l}}{dy_{c}} \right|_{y_{c}=0} \xi'$$

and taking

$$\mathbf{v} \cdot \mathbf{n} = V + v', \, v' = -\frac{\partial \xi'}{\partial t}$$

into account, we obtain from (21)

$$\bar{T}_l + T'_l + \left. \frac{d\bar{T}_l}{dy_c} \right|_{y_c=0} \xi' = T_0 - m\bar{C}_l - mC'_l - m\frac{d\bar{C}_l}{dy_c} \right|_{y_c=0} \xi' - dT_Q \left(\frac{1}{R} + \left. \frac{\partial^2 \xi'}{\partial y_c^2} \right|_{y_c=0} \right) - \tilde{\beta} \left(V + \nu' \right).$$

Note that only linear terms in perturbations have been taken into account. Now keeping in mind the steady-state boundary condition (22) and designations (19), we come to the first line of equations (20). The remaining lines of (20) can be obtained by similar transformations.

Substituting perturbations (17) into the boundary conditions (20), we get four equations for the perturbation amplitudes T_{l0} , T_{s0} , C_0 and C

$$T_{l0} = -(h_{1} + mh_{2} + dT_{Q}k^{2} - \tilde{\beta}\omega)C - mC_{l0},$$

$$T_{s0} = (mh_{2} + dT_{Q}k^{2} - \tilde{\beta}\omega)C + mC_{l0},$$

$$\epsilon kT_{s0} = -\frac{\alpha_{h}\rho_{l}c_{l}u_{*}}{k_{s}}T_{l0} - \left(\frac{T_{Q}\omega}{D_{T}} + \frac{\alpha_{h}\rho_{l}c_{l}u_{*}h_{1}}{k_{s}}\right)C,$$

$$C_{l0}\left(1 + \frac{(1 - k_{0})V\cos\theta}{\alpha_{m}u_{*}}\right) = -\left(\frac{V\cos\theta h_{2}(1 - k_{0})}{\alpha_{m}u_{*}} + \frac{C_{i}\omega(1 - k_{0})}{\alpha_{m}u_{*}} + h_{2}\right)C.$$
(23)

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Now substituting T_{l0} and T_{s0} from the first and second lines of equations (23) into the third line, we arrive at

$$C_{l0}\left(\epsilon km - \frac{\alpha_h \rho_l c_l u_* m}{k_s}\right) = \left(-\epsilon km h_2 - \epsilon dT_Q k^3 + \epsilon k\tilde{\beta}\omega + \frac{\alpha_h \rho_l c_l u_*}{k_s}\left(mh_2 + dT_Q k^2 - \tilde{\beta}\omega\right) - \frac{T_Q \omega}{D_T}\right)C.$$
 (24)

Combining the fourth line of (23) and (24) (eliminating the perturbation amplitudes C_{l0} and C), we come to a dispersion law for the function $\omega(k)$, which reads as

$$-\left(\frac{V\cos\theta h_2(1-k_0)}{\alpha_m u_*} + \frac{C_i\omega(1-k_0)}{\alpha_m u_*} + h_2\right)\left(\epsilon km - \frac{\alpha_h\rho_l c_l u_*m}{k_s}\right)$$

$$= \left(1 + \frac{(1-k_0)V\cos\theta}{\alpha_m u_*}\right)\left(-\epsilon kmh_2 - \epsilon dT_Q k^3 + \epsilon k\tilde{\beta}\omega + \frac{\alpha_h\rho_l c_l u_*}{k_s}\left(mh_2 + dT_Q k^2 - \tilde{\beta}\omega\right) - \frac{T_Q\omega}{D_T}\right).$$
(25)

Next, consider a system of coordinates moving in the direction normal to the dendrite surface with velocity $V \cos \theta$. Due to the rotational symmetry of the system, the perturbation with wavenumber k grows with velocity $\omega(k)$. When the origin of the coordinate system moves along the axis z with a constant velocity V, the perturbation increases as $\omega(k) - iVk \sin \theta$ due to the tangential velocity $V \sin \theta$ in the new coordinate system.^{20,36} Consequently, replacing $\omega(k)$ by $-iVk \sin \theta$ on the neutral stability curve (where ω disappears), setting $\epsilon = -1$ and replacing -i instead of i according to the previous theories,^{20,36} we arrive at the following equation for the marginal wavenumber $k = k_m$

$$k_m^2 + \left(2b - \frac{i\beta V \sin\theta}{d} - \frac{iB \sin\theta}{dA}\right)k_m - \frac{2bi\beta V \sin\theta}{d} - \frac{iV \sin\theta}{D_T d} - \frac{2biB \sin\theta}{dA} = 0,$$
(26)

where

$$b = \frac{\alpha_h \rho_l c_l u_* m}{k_s}, \ \beta(\theta) = \frac{\tilde{\beta}(\theta)}{T_Q}, \ A = 1 + \frac{V \cos \theta (1 - k_0)}{\alpha_m u_*}, \ B = \frac{m V C_i (1 - k_0)}{\alpha_m u_* T_Q}$$

4 | SOLVABILITY THEORY

To derive the selection criterion, the limit wavenumber k_m found from Equation (26) is substituted into the following solvability condition derived by Pelcé and Bensimon¹⁹

$$\int_{-\infty}^{\infty} G\left[X_0(l)\right] Y_m(l) dl = 0, \ Y_m(l) = \exp\left[i \int_{0}^{l} k_m(l_1) dl_1\right],$$
(27)

where *G* denotes an arbitrary curvature operator, and $X_0(l)$ represents the solution continuum from which the dependence $k_m(l)$ is obtained (here $X_0(l)$ stands for the Ivantsov parabola function of the curvilinear coordinate *l*). Note that orthogonality of $G[X_0(l)]$ and $Y_m(l)$ means that steady solutions can be found close to an Ivantsov parabola in the case of small surface tension.

For dendritic growth in a single-component system ($C_{l\infty} = C_i = 0$), the solution of Equation (26) is

$$k = -b + \frac{i\beta V \sin\theta}{2d} - b\sqrt{1 + \frac{iqV \sin\theta}{bd}},$$
(28)

where we suppose that $q = \beta_0 + 1/(bD_T)$, $\alpha_\beta \ll 1$, and $V \lesssim 10$ m/s (or $P_g \lesssim 1$ for typical metallic melts).

By combining expressions (27) and (28) in the case of small anisotropies $\alpha_d \ll 1$, $\alpha_\beta \ll 1$ and assuming $\theta_d = 0$,^{19,20} we obtain the following solvability integral

$$\int_{-\infty}^{\infty} d\phi G \left[X_0(\eta(\phi)) \right] \exp \left\{ \int_{1/\sqrt{2\alpha_d}}^{\phi} \left[\sqrt{\frac{2^{7/4} \rho^2 b q V \alpha_d^{5/n} A_n^{5/n} \left({\phi'}^{\frac{n+1}{2}} - \tilde{\tau} \phi'(\phi'^{n/2} - 1) \right)}{d_0 \left(1 - \phi'^{n/2} \right)}} + \frac{\rho A_n^{2/n}}{\sqrt{2} d_0 \alpha_d^{1-2/n}} \left(2^{7/4} \alpha_d^{1+1/n} A_n^{1/n} b d_0 \sqrt{\phi'} + \beta_0 V \alpha_\beta \right) \right] d\phi' \right\} = 0,$$
(29)

where

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$$A_n = 2^{-3n/4} \sum_{k=0}^n \binom{n}{k} i^{n-k} \cos \frac{(n-k)\pi}{2}, \ \tau = \frac{2^{3/4} \alpha_d^{\frac{5-n}{4}} b d_0}{qV}, \ \tilde{\tau} = \tau A_n^{1/n} \alpha_d^{\frac{(n-1)(n-4)}{4n}}$$

The following substitutions are included in this expression^{19,20,36}

$$l_1 = -\frac{\rho}{2} \left[\frac{\tan \theta}{\cos \theta} + \ln \left(\frac{1}{\cos \theta} + \tan \theta \right) \right], \ \eta(\phi) = \tan \theta = i \left(1 - \sqrt{2\alpha_d} \phi \right), \ \phi = \frac{A_n^{2/n} \phi'}{\alpha_d^{(n-4)/(2n)}}, \ \alpha_d \approx \alpha_\beta \ll 1$$

The solvability integral (29) is found in accordance with the previous theoretical works.^{9,20,22,36} The method of approximate evaluation of this integral is connected with the determination of main contributions from the first summand in square brackets of (29). These dominant contributions appear from the loop and stationary phase points in the complex plane of integration. The first contribution is calculated between the distance ~ $\tilde{\tau}^{2/3}$ (stationary phase point splitting distance) at the intersection of the steep descent trajectory and the real axis and $\phi' \sim 1.^{22}$ This contribution can be written as

$$\cos\left[\bar{A}_{1}\sqrt{\frac{\rho^{2}bqV\alpha_{d}^{5/n}A_{n}^{5/n}}{d_{0}}}\left(1+\bar{B}_{1}\tilde{\tau}^{\frac{n+5}{2(n-1)}}\right)+\frac{2a_{1}}{3}\tilde{\tau}^{\frac{3}{n-1}}+a_{2}\tilde{\tau}^{\frac{2}{n-1}}\right].$$
(30)

The second contribution behaves as an exponential function whose oscillating part has the form

$$\cos\left[\bar{A}_{2}\sqrt{\frac{\rho^{2}bqV\alpha_{d}^{5/n}A_{n}^{5/n}}{d_{0}}}\left(1+\bar{B}_{2}\tilde{\tau}^{\frac{n+5}{2(n-1)}}\right)+\frac{2a_{1(1-\tilde{\tau}^{\frac{3}{n-1}})}}{3}+a_{2}\left(1-\tilde{\tau}^{\frac{2}{n-1}}\right)\right],$$
(31)

~ /

$$a_1 = 2^{5/4} A_n^{3/n} \alpha_d^{3/n} \rho b, \ a_2 = \frac{\alpha_{\beta} A_n^{2/n} \rho \beta_0 V}{\sqrt{2} d_0 \alpha_d^{\frac{n-2}{n}}},$$

where \bar{A}_1 , \bar{A}_2 , \bar{B}_1 , and \bar{B}_2 are all constans. A more detailed description of the evaluation method can be found in previous works (see, e.g., Bouissou and Pelcé²²).

Cancelation of the sum of contributions (30) and (31) leads to the convective stability criterion of dendritic growth in an undercooled liquid phase

$$\sigma^* = \frac{2d_0 D_T}{\rho^2 V} = \frac{\sigma_{0n} \alpha_d^{5/n} A_n^{5/n} \left(1 + b D_T \beta_0\right) \left(1 + \mu_n \tilde{\tau}_n^{\frac{n+5}{2(n-1)}}\right)^2}{\left[1 + \nu_{1n} \left(\alpha_d^{3/n} A_n^{3/n} \rho b + \frac{3\alpha_d^{1/4} A_n^{2/n} \rho \beta_0 V}{2^{5/4} d_0}\right)\right]^2}.$$
(32)

where $v_{1n}^2 = 2^{9/2} 25\sigma_{0n}/27$, σ_{0n} and μ_n are constants provided from phase field simulations or experimental results.³⁷⁻⁴²

Thermo-solutal growth is considered in the limit of dilute systems, where $\beta_1 \ll \sqrt{d_0/(VD_T)}$ or $\beta_1 \ll bd_0/V$ and $A \sim 1$, the wave number k_m is taken from expression (28), and the parameter β_0 is replaced by $\beta_1 = \beta_0 + mC_i(1 - k_0)/(T_Q\alpha_m u_*)$. Note that small β_1 means small kinetic effects compared to capillary. In this case, the selection criterion reads as

$$\sigma^{*} = \frac{\sigma_{0n} \alpha_{d}^{5/n} A_{n}^{5/n} \left(1 + b D_{T} \beta_{1}\right) \left(1 + \mu_{n} \tilde{\tau}_{1n}^{\frac{n+5}{2(n-1)}}\right)^{2}}{\left[1 + \nu_{1n} \left(\alpha_{d}^{3/n} A_{n}^{3/n} \rho b + \frac{3\rho \alpha_{d}^{1/4} A_{n}^{2/n} \beta_{1} V}{2^{5/4} d_{0}}\right)\right]^{2}}, \quad \tilde{\tau}_{1n} = \frac{\alpha_{d}^{1/n} A_{n}^{1/n} \rho b^{2} d_{0}}{2^{1/4} P_{g} (1 + b D_{T} \beta_{1})}.$$
(33)

Considering the opposite case $\beta_1 \gg \sqrt{d_0/(VD_T)}$, we obtain the wavenumber from (26) in the form of

$$k = \frac{i\beta_1 V \sin\theta}{d}.$$
(34)

Combining expressions (27) and (34), we arrive at the solvability integral

$$\int_{-\infty}^{\infty} d\phi G \left[X_0(\eta(\phi)) \right] \exp\left(\frac{\sqrt{2}\rho V \beta_1 \alpha_d^{2/n} A_n^{2/n}}{d_0} \int_{1/\sqrt{2\alpha_d}}^{\phi} \frac{\phi'^{n/2} d\phi'}{\phi'^{n/2} - 1} \right) = 0,$$
(35)

where the contribution from the loop can be written as

$$\cos\left(\frac{\bar{A}_3\rho V\beta_1 \alpha_d^{2/n} A_n^{2/n}}{d_0}\right) = 0,$$
(36)

and \bar{A}_3 represents a constant.

Equating (36) to zero, we come to the selection criterion

$$\sigma^* = \frac{2d_0 D_T}{\rho^2 V} = \frac{2\sigma_{0n} D_T \beta_1 \alpha_d^{2/n} A_n^{2/n}}{\rho},$$
(37)

where σ_{0n} is a constant which can be taken from phase field modeling or experimental data (here the limit of suitability is $\beta_1 \gg \sqrt{d_0/(VD_T)}$).

It is significant that σ^* from (33) (derived for $\beta_1 \ll \sqrt{d_0/(VD_T)}$) vanishes in the case of large β_1 whereas σ^* from (37) (derived for $\beta_1 \gg \sqrt{d_0/(VD_T)}$) vanishes in the opposite limiting case. Such a behavior enables us to sew together these asymptotic solutions.

Consequently, the generalized selection criterion for both limiting cases in β_1 can be written as

$$\sigma^{*}(\rho, V) = \frac{2d_{0}D_{T}}{\rho^{2}V} = \frac{\sigma_{0n}\alpha_{d}^{5/n}A_{n}^{5/n}\left(1+bD_{T}\beta_{1}\right)\left(1+\mu_{n}\tilde{\tau}_{1n}^{\frac{n+5}{2(n-1)}}\right)^{2}}{\left[1+\nu_{1n}\left(\alpha_{d}^{3/n}A_{n}^{3/n}\rho b+\frac{3\alpha_{d}^{1/4}A_{n}^{2/n}P_{g}\beta_{1}D_{T}}{2^{1/4}d_{0}}\right)\right]^{2}} + \frac{2\sigma_{0n}\alpha_{d}^{2/n}A_{n}^{2/n}D_{T}\beta_{1}}{\rho}.$$
(38)

TABLE 1Material and calculation parameters for the alloyAl-24 at. % Ge. Parameters marked by (*) are given by Beckeret al³⁸

| Parameter | Symbol | Value | Units |
|-----------------------------------|-----------------------|---------------------|--|
| Solute partition coefficient* | k_0 | 0.11 | — |
| Liquidus slope* | т | 10.4 | K/at.% |
| Hypercooling* | T_Q | 353 | Κ |
| Liquidus temperature* | T_0 | 732 | Κ |
| Solute diffusion coefficient* | D_C | $7\cdot 10^{-9}$ | $m^2 s^{-1}$ |
| Initial composition* | $C_{l\infty}$ | 24 | at.% |
| Capillary constant* | d_0 | $4.3\cdot 10^{-10}$ | m |
| Thermal diffusivity | D_T | $4 \cdot 10^{-5}$ | $m^2 s^{-1}$ |
| Liquid density | ρ_l | $1.26\cdot10^3$ | kg m ⁻³ |
| Heat capacity | c_l | 550 | $J kg^{-1} K^{-1}$ |
| Thermal conductivity in the solid | k_s | 29.22 | $\mathrm{W} \ \mathrm{m}^{-1} \ \mathrm{K}^{-1}$ |
| Friction velocity of flow | <i>u</i> _* | $3.3\cdot10^{-4}$ | ${\rm m~s^{-1}}$ |
| Surface energy stiffness | α_d | 0.026 | _ |
| Solvability constant | σ_0 | 0.09 | _ |
| Convective coefficient of mass | α_m | 2.88 | _ |
| Order of crystalline symmetry | п | 4 | _ |

The selection criterion (38) is a combination of the dendrite growth velocity *V* and tip diameter ρ in the case of *n*-fold symmetry and convective heat and mass transfer in the liquid phase.

The undercooling balance ΔT provides a second combination of growth velocity and the tip diameter. This combination yields solutions close to the stationary Ivantsov or Horvay–Chan solutions, which determine the temperature and concentration around the dendrite surface. Considering the case of convective boundary conditions (4) and (5) at the dendrite surface, we come to

$$\Delta T = \Delta T_T + \Delta T_C + \Delta T_R + \Delta T_K. \tag{39}$$

Here, the thermal ΔT_T , concentration ΔT_C , curvature ΔT_R , and kinetics ΔT_K contributions read as

$$\Delta T_{T} = T_{i} - T_{\infty} = \frac{T_{Q}Vk_{s}}{\alpha_{h}\rho_{l}c_{l}u_{*}D_{T}}, \ \Delta T_{C} = m(C_{i} - C_{l\infty}) = \frac{(1 - k_{0})VmC_{l\infty}}{\alpha_{m}u_{*} - (1 - k_{0})V},$$

$$\Delta T_{R} = \frac{4d_{0}T_{Q}}{\rho}, \ \Delta T_{K} = \frac{V}{\mu_{k}},$$
(40)

where the previously mentioned analytical solutions (10)–(15) are taken into account.

$\mathbf{5} + \mathbf{A}$ TEST OF THEORY AGAINST EXPERIMENTAL DATA FOR AN AL-GE ALLOY

The system of two transcendental equations (selection criterion (38) and undercooling balance (39)) defines the dendrite tip velocity V and tip diameter ρ as functions of total undercooling ΔT . In this section, we compare this solution and previous theoretical predictions under conductive boundary conditions³⁶ with experimental data³⁸ obtained for the alloy Al-24 at. % Ge (material and calculation parameters are given in Table 1). To do this, we solved the nonlinear systems of selection criterion and undercooling balance for convective (the case under consideration) and conductive (previously developed theory³⁶) boundary conditions using MATLAB computing platform.

The solidification experiments with Al-24 at. % Ge alloys³⁸ were carried out using a horizontal sample alignment, minimizing gravity-induced fluid flow. The driving force for solidification is the total undercooling ΔT at the dendrite tip. It is defined as the sum of solutal and curvature (Gibbs–Thomson effect) undercooling; kinetic and thermal undercooling were neglected, as fast thermal diffusion was assumed (i.e., $\Delta T_T = 0$ and $\Delta T_K = 0$).

Figure 3 compares the model predictions for convective and conductive boundary conditions with experimental data on dendrite growth velocity. It can be seen that conductive theory³⁶ well describes experimental data in the intermediate undercooling region, $3 K < \Delta T < 8 K$, which is in good agreement with the isothermal version of the Lipton–Glicksman–Kurz (LGK) model used for the calculations by Becker et al.³⁸ In the low undercooling region, $\Delta T < 3 K$, distinct deviations from predictions of both conductive and LGK model³⁸ occur. During dendrite growth, convection



FIGURE 3 Dendrite tip velocity *V* as a function of melt undercooling ΔT for the alloy Al-24 at. % Ge. (experimental points from Becker et al³⁸)

at the crystaal tip can play a pronounced role. Despite the fact that the gravity-driven fluid flow was minimized in experiments, convection in front of the tips is still possible. In this case, we have assumed that the fluid flow in the levitated droplets is intense (turbulent), so that intense swirls leading to convective mass transfer occur at the growing dendrite tips. Thus, it can be clearly seen that including the convective boundary conditions (expressions 4 and 5) extends the range of reliable model predictions to low undercoolings. It is also important that the crystallization velocity V grows faster with undercoling in the case of conductive mechanism of heat and mass transfer (Figure 3). In other words, convective transport of heat and mass near dendrites caused, for example, by turbulence dilutes the melt undercooling and inhibits dendrite growth.

6 | CONCLUSION

A theoretical model based on the solvability theory and morphological stability analysis selecting a stable dendritic growth mode in the case of intense fluid flow is developed. A new nonlinear equation for the marginal wavenumber limit is derived and solved for various solidification scenarios. In combination with the solvability theory, we derived a new stability (solvability) criterion representing a relation between dendrite growth velocity, tip diameter, and melt undercooling. Keeping the undercooling balance in consideration, the second relation between these parameters, we derived a closed set of nonlinear algebraic equations that describe the governing dependencies $V(\Delta T)$ and $\rho(\Delta T)$. These functions characterize the material microstructure and structural transformations in the solidified substance. Our analysis stitched together with a model for conductive boundary conditions demonstrates excellent agreement between the theory and experimental data in the entire range of melt undercooling. At a low undercooling, the growth is well described by the convective-type selection criterion under study, whereas at the moderate or high undercooling, conductive heat and mass transfer prevails.

It is well-known that there exist solidification processes where directional and equiaxed solidification can occur simultaneously, e.g., when nucleation, growth, and coalescence of solid microstructural features occur at the same time. In such situations, the released latent heat of fusion can interact with dendrite growth and change all operational and microstructural characteristics of solidified materials. Examples are porosity, interdendritic spacing, microstructural transitions between polycrystalline and single-crystalline structures, and so on. A study of such synchronous occurrence of directional and equiaxed phase transitions is a challenging task for future studies that can be investigated with allowance for the theories of dendrite growth and mushy zone dynamics.^{43–55}

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AUTHOR CONTRIBUTION

The authors contributed equally to the present research article.

CONFLICT OF INTEREST

The authors declare no potential conflict of interests.

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